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Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dennis et al.

Appln. No.: 10/679,581

Filed: October 6, 2003

For: Novel Microemulsion and Micelle Systems for Solubilizing Drugs

Attorney Docket No: 11509/20

Examiner: Webman, Edward J.

Art Unit: 1616

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

| U.S. Patent Documents | | |
|---|---------------|------------|
| DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME |
| US 5342625 | Aug 30, 1994 | Hauer |
| US 6121313 | Sept 19, 2000 | Gao |
| US 6063762 | May 16, 2000 | Hong |
| US 5932243 | Aug 3, 1999 | Fricker |
| US 6284268 | Sept 4, 2001 | Mishra |
| US 6241969 | Jun 5, 2001 | Saidi |
| US 6743436 | Jun 1, 2004 | Lee |
| US 2002/01087291 | Aug 8, 2002 | De Tommaso |
| US 2004/0067919 | Apr 8, 2004 | Jee |
| US 6383471 | May 7, 2002 | Chen |

| Foreign Patent Documents | | |
|---|--------------|---------|
| DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY |
| WO 02/45709 | Jun 13, 2002 | PCT |
| WO 00/40219 | Jul 13, 2000 | PCT |

| Other Art |
|---|
| Handbook of Pharmaceutical Excipients, 3 rd ed., Bettini, R; Cocconi, D; Kibbe HA (Editor), Pharmaceutical Press, London 2000. pp 230, 231, 420-423. |
| Shinoda and Kunieda, "How to Formulate Microemulsions with Less Surfactant: Microemulsions, Theory and Practice, Editor: Leon M. Price (1997) |

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 23, 2007
Date

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